

## **MÜLLER STEM CELLS**

### **Field of the Invention**

The present invention relates to the restoration of visual function by cell transplantation. In particular, the present invention relates to the use of adult  
5 Müller stem cells in the treatment of visual disorders, neurological disorders, repair of the peripheral nervous system and spinal cord repair.

### **Background to the Invention**

The restoration of visual function is one of the ultimate aims in vision research. Present treatments for severe diseases leading to blindness, such as  
10 age related macular degeneration (AMD), glaucoma, diabetic retinopathy and complications of retinal detachment, are only supportive or slow down disease progression, but do not restore visual function. Recent research involving stem cell transplantation in a wide disease spectrum has triggered enthusiasm across the medical and scientific community, and stem cell research to restore neural  
15 circuits in diseased retinas are encouraged by results obtained with progenitor cell transplantation to treat other human diseases, including leukemia, severe skin burns and myocardial disfunction.

To date, transplantation studies aimed at restoration of human retinal function have yielded little success, and have been limited to transplantation of  
20 retinal pigment epithelial (RPE) and Iris pigment epithelial (IPE) cells. Experimental transplantation of RPE, Schwann and brain-derived pre-cursor cells in animal models of retinal degeneration has provided some success in the preservation of retinal function. Retinal transplantation of brain-derived precursor cells to RCS rats (a model of retinal degeneration) promotes  
25 photoreceptor cell survival. However, although the transplanted cells migrate to the photoreceptor cell layer, they fail to express retinal neural markers, suggesting that a specific neuronal precursor is needed for functional and morphological regeneration of the retina.

During early studies, it was thought that stem cells could only be isolated  
30 from embryos, for which neural progenitors were first identified in the embryonic mammalian central system and peripheral nervous system (CNS and PNS). However, more recent investigations have identified adult stem cells in

neurogenic regions of the CNS, and this has prompted further investigations in the search for adult stem cells.

Limb *et al.*, IOVS, 2002; 43(3); 864-869 discloses the identification of a spontaneously immortalised Müller cells.

5 Müller cells are radial glial cells that extend vertically through the whole width of the retina. They stabilise the complex retinal architecture, give structural and metabolic support to neurons and blood vessels, prevent aberrant photoreceptor migration into the sub-retinal space and regulate fluid transport between the vitreous cavity and the sub-retinal space. Nearly all retinal  
10 pathological conditions that constitute major causes of blindness, including age related macular degeneration, proliferative diabetic retinopathy, proliferative vitreoretinopathy (PVR) and retinitis pigmentosa (RP), are associated with changes in Müller cell distribution, proliferation or function.

Fischer *et al.*, Nature Neuroscience, 2001; 4(3): 247-252 describes the  
15 identification of Müller glial cells obtained from the retina of post-natal chicken. The Müller glial cells are shown to be non-differentiated, proliferate and express transcription factors normally expressed by embryonic retinal progenitors. The Müller glial cells proliferate in response to retinal damage.

In the human eye, it has been shown that Müller stem cells given origin  
20 to various retinal cells are found during foetal development, but no evidence has yet been shown that these cells may be present in the adult neural retina. There is therefore a need to develop cells suitable for use in retinal cell transplantation therapies, for the treatment of human retinal damage.

#### Summary of the Invention

25 The present invention is based on the realisation that Müller cells from the adult human neural retina can be obtained and made to behave like stem cells under appropriate conditions.

According to a first aspect of the invention, a method for the production on retinal cells, useful in transplantation therapy, comprises steps of:

30 (i) obtaining one or more mammalian adult Müller cells; and

(ii) culturing the cells in the presence of an extra-cell ular matrix protein and a growth factor, to thereby induce the de-differentiation of the Müller cells into a progenitor phenotype.

5 The ability to take adult mammalian Müller cells and treat them to induce de-differentiation, allows large quantities of the cells to be obtained and used in transplantation therapy. The cells used according to the invention have been shown to preserve retinal integrity and attenuate loss of visual function when injected into the sub-retinal space of RCS rats.

10 According to a second aspect of the invention, retinal cells obtainable according to the method outlined above, are used in the manufacture of a medicament for the treatment of a condition associated with cell loss or cell damage in a mammalian eye.

According to a third aspect of the invention, a composition comprises cells obtained using the method defined above.

15 According to a fourth aspect of the invention, a composition comprises a matrix protein and one or more growth factors, in the manufacture of a medicament for administration to a damaged eye, to repair the damage.

20 According to a fifth aspect of the invention, a structure for grafting to a patient comprises multiple layers of a matrix supporting material onto which is incorporated a plurality of retinal neurons, the retinal neurons of one layer being of the same or different phenotype to those of other layers.

#### Description of the Drawings

The invention is described with reference to the accompanying drawings, wherein:

25 Figure 1 is a photographic representation of Müller cells, either (A) in plastic tissue culture dishes, (B) on plastic tissue culture dishes showing characteristic microvilli and (C) showing end foot processes;

Figure 2 is a photographic representation of Müller cells grown under different culture conditions;

30 Figure 3 is a photographic representation of Müller cells cultures under various conditions, with (A) showing expression of cyclin D and (B) showing binding to peanut agglutinin;

Figure 4 is a photographic representation of the expression of retinal neural markers by Müller cells cultured on matrigel in the presence of retinoic acid;

Figure 5 is a photographic representation of the expression of neural  
5 retinal markers by Müller cells cultured on matrigel in the presence of FGF2 and IGF-1;

Figure 6 is a photographic representation of Müller cells transplanted into RCS rats, and shows the retinal appearance after 4 months post-transplantation;

Figure 7 is a photographic representation of Müller cells transplanted into  
10 the subretinal space of a RCS rat, with the cells identified by antibody markers; and

Figure 8 is a graphic representation of the response to visual stimuli of a RCS rat after transplantation with the Müller cells of the invention.

#### Description of the Invention

15 The present invention allows for the identification, expansion and maintenance of adult human Müller progenitor cells, permitting the use of the cells in transplantation therapy, to treat various retinal disorders. The adult Müller cells may be isolated from a mammalian donor retina and express markers of mature cells, but on treatment in specific conditions *in vitro*, the cells  
20 re-enter the cell cycle and de-differentiate into cells expressing progenitor cell phenotypes such as nestin, sonic hedgehog protein, the transcription factors Sox-2, Pax-6 and Chx10  $\beta$ III tubulin and bind peanut agglutinin. They also express markers of differentiated retinal neurons, including HuD, calretinin, calbindin, Brn 5.0, neuron-specific enolase, Thy-1, peripherin, rhodopsin and  
25 70kDa neurofilament protein.

The term "de-differentiation" is well known to those skilled in the art and refers to the change in a cell phenotype from a differentiated state to a progenitor phenotype.

Adult mammalian Müller cells may be obtained from the retina of an adult  
30 mammalian eye using techniques disclosed herein. It is preferable to isolate human Müller cells. Under normal culture conditions, the adult Müller cells will express markers of mature Müller cells, including cellular retinaldehyde binding

protein (CRALBP), glutamine synthetase, vimentin and epidermal growth factor receptor (EGF-R) (Lewis *et al.*, Exp. Eye Res., 1988; 47: 855-868). The Müller cells are identified by their characteristic morphology under phase-contrast microscopy and by their expression of the markers indicated above (Sathya *et al.*,  
5 Invest. Ophthalmol. Vis. Sci., 1998; 39: 212-216). When subconfluent, Müller cells in culture exhibit morphological characteristics typically observed in the retina *in vivo*: they are non-pigmented cells with elongated shape, characteristic end foot processes and villus surfaces (see Figure 1a, b and c); they respond to glutamate as judged by their electrophysiological responses, and do not  
10 express GFAP under non-stressed conditions.

In order to produce the de-differentiated Müller cells, it is necessary to culture the isolated adult Müller cells in the presence of an extracellular matrix protein and a growth factor. Extracellular matrix proteins are complex proteins that surround and support cells with an organ. Suitable extracellular matrix  
15 proteins will be known to the skilled person, and include matrigel, fibronectin, collagen, vitronectin and laminin. The culture conditions also require a growth factor to aid de-differentiation. Suitable growth factors will be apparent to the skilled person and include epidermal growth factor (EGF), fibroblast growth factor-2 (EGF-2), insulin-like growth factor-1 (IGF-1). The preferred growth  
20 factor is epidermal growth factor (EGF). The morphology of Müller cells under different culture conditions is shown in Figure 2.

Culturing the adult Müller cells in these conditions allows de-differentiation to occur, leading to Müller cells that express nestin,  $\beta$ III tubulin and bind peanut agglutinin, which are known markers of embryonic neural cell  
25 progenitors. These markers can be identified readily using conventional antibody-based detection systems, e.g. immuno-cytochemistry and immuno-blotting (Limb *et al.*, Invest. Ophthalmol. Vis. Sci., 2002; 43: 864-869 and Lewis *et al.*, Am. J. Ophthalmol., 1994; 118: 368-376).

The de-differentiated cells may be used in this form or may be  
30 differentiated into a particular cell phenotype, using specific differentiation agents. For example, in the presence of retinoic acid (RA), a well known differentiating agent of stem cells, the Müller cells form a mosaic of cells

resembling ganglion cells and express a low molecular weight neuro filament protein and neuron-specific enolase, characteristic markers of neural cells. Other suitable differentiation agents include 3,3',5-Triiodo-L-thyronine, insulin, and TGF $\beta$ . Combinations of differentiating agents may be used to form particular phenotypes. For example, the combination of FGF2 and IGF-1 induce human Müller progenitor cells to express Thy-1, neuron-specific enolase (NSE) (markers of major retinal neurons such as ganglion cells) and Peripherin (expressed by cones and rod photoreceptor cells). In this way, a specific phenotype can be produced for the treatment of a condition associated with the loss of, or damage to, that particular type of cell. The method of the invention therefore allows large numbers of specific cell types to be prepared and used in therapy.

In addition, the method of the invention permits multiple layers of retinal cells to be prepared, each layer having a different phenotype. The Müller cells can be made to differentiate into different specific retinal neurons and placed on matrix substances which are layered to produce a retinal structure for grafting.

The differentiation of the Müller cells may also be influenced by the local environment on administration to a patient. In damaged areas, growth factors are produced which may determine the ultimate phenotype of the transplanted Müller cells. The de-differentiated Müller cells may therefore be administered to a patient and adopt the phenotype of the damaged cells, replacing the lost or damaged cells.

The establishment of initial Müller cell lines in the defined culture conditions may be time dependent and it is preferable to culture the isolated Müller cells for at least 2-3 weeks following the addition of the growth factor. Colonies of cells are formed in the culture media and these may be isolated and placed in new culture media to generate a large number of cells.

The cultured and de-differentiated Müller cells are said to be immortal in that they may be maintained and expanded in culture for multiple passages and retain the ability to differentiate into different phenotypes in response to differentiating agents.

The cells may be used in the treatment of a condition associated with cell loss or cell damage in a mammalian eye. Conditions that may be treated by transplanting the cells of the invention include age-related macular degeneration, macular hole non-proliferative diabetic retinopathy, proliferative diabetic retinopathy, proliferative vitreoretinopathy, retinal detachment, retinitis pigmentosa, glaucoma and optic nerve injury. Other types of inherited and non-inherited retinal degeneration may also be treated.

The cells used in the treatment of humans should preferably be derived from human cells to reduce problems with immune rejection. The cells may be autologous cells (derived from the mammalian eye to be treated), heterologous cells stored in a cell bank, or genetically modified cell lines derived from these cells.

To treat a patient it is generally of assistance to know where damage has occurred in the eye. Once the existence of damage has been established, whether it be in one isolated area or in several areas, treatment by implantation of cells into the damaged area may be carried out. The cells may be transplanted at a single site, or preferably at multiple sites.

After treatment the progress of the patient may be monitored using tests known to examine cortical visual function. Suitable monitoring techniques include: i) psychophysical tests such as visual field and contrast sensitivity tests, ii) electro-physiological tests such as electro-retinogram (ERG), and iii) high resolution imaging techniques such as ocular coherence tomography (OCT).

Preferably, treatment will substantially correct a visual impairment. However, treatment according to the present invention and with the cells, medicaments and pharmaceutical preparations of the invention, may lead to improvement in function without complete correction. Such improvement will be worthwhile and of value.

The number of cells to be used will vary depending on the nature and extent of damage. Typically, the number of cells used in transplantation will be in the range of about 100,000 to several million. Treatment need not be restricted to a single transplant. Additional transplants may be carried out to further improve function. The cells prepared according to the invention may be

formulated with any pharmaceutically acceptable diluent or excipient and may include additional pharmaceutical agents, including immunosuppressive agents or growth factors. The cells may also be genetically modified to express other pharmaceutical agents which may be required at the site of damage.

- 5       The cells may also be combined with agents known to plasticize the nervous system, which may enhance the ability of the cells to connect to the nervous system and to grow into the eye.

The following Examples illustrate the invention.

Example 1

- 10   De-differentiation of adult Müller progenitor cells into cells expressing markers of retinal neurons.

Müller cells in suspension were cultured on matrigel coated culture plates at a density of 1000 cells/ml in DMEM medium containing 10% foetal bovine serum (FCS) and either FGF-2 (40 µg/ml) alone or in combination with insulin (100 µg/ml), ii) retinoic acid (RA) (500 nM), or iii) triiodothyronine (T3, 40 µg/ml).  
15   Following 3-10 days in culture with medium freshly replaced every 48 hours, cells exhibited various changes in morphology and expression of neural retinal markers. For example, following 5 days in culture on Matrigel and in the presence of FGF2, cells formed neurospheres and cells contained in the neurospheres bound PNA and expressed nestin, calretinin and βIII tubulin (markers of neural progenitors). When cultured on fibronectin in the presence of retinoic acid, Müller cells formed neurospheres and also displayed neural morphology. They also expressed nestin and markers of retinal neurons including 68kD neurofilament protein, thy-1 and calretinin, as well as rhodopsin,  
20   a marker of rod photoreceptor cells. Following 10 days in culture on matrigel in the presence of FGF2 and IGF-1, they displayed neural morphology and expressed the retinal neural markers thy-1, neuron-specific enolase and calbinding. In addition, they expressed rhodopsin and peripherin, both markers of photoreceptor cells.

30   Example 2

Restoration of visual function in an experimental models of retinal degeneration.



Three week-old dystrophic Royal College of Surgeons (RCS) rats, which exhibit progressive photoreceptor degeneration accompanied by ganglion cell loss, were immuno-suppressed with cyclosporine A and injected via a trans-scleral route into the dorso-temporal subretinal space with 2  $\mu$ l of DMEM medium containing 10<sup>5</sup> Müller cells. Retinal architecture and localization of the transplanted cells were examined at 8, 12 and 15 weeks after transplantation using histo-pathological and immuno-histochemical techniques. Visual function was measured by timing the animals' head movement in a set interval, when placed on a stationary platform in the centre of a rotating drum lined with black and white stripes. Using this experimental protocol, if the rat can see, the moving lines evoke an involuntary optokinetic response such as the rat track the movement. The results showed that human Müller stem cells transplanted into the subretinal space of RCS rats migrated across the retina and localized into the photoreceptor and ganglion cell layers.

Examination of retinal morphology in the transplanted rats showed that the photoreceptor cell layer and general retinal appearance were well preserved (see Figure 6). They also showed that transplanted Müller stem cells preserved visual function in the RCS rat, as judged by head tracking responses.

### Example 3

#### Pluripotentiality of Müller stem cells *in vitro*.

Resembling that observed with neural progenitors of the rat, mouse and chick retinae, a large proportion of cells present in the de-differentiated cells expressed i) cyclin D, a cell cycle protein involved in the regulation of proliferation during retinal development; ii) binding of peanut agglutinin, a lectin that binds to glycoconjugates of pluripotent neural stem cells; iii) calretinin, a major neural retinal marker; iv) nestin and  $\beta$ III tubulin, early markers of neural differentiation; and v) markers of neural retinal progenitors, including Six 3/6 and Sox-2. These cells appeared to give origin to different mature retinal neurons, as shown by the heterogeneity of neural retinal markers they expressed. These included Thy-1, NSE, Brn 5.0, HuD, peripherin, calretinin and rhodopsin.

After 5-7 days, cells exhibited morphological features and markers of differentiated retinal neurons. However, according to the extracellular matrix and

growth factors used for de-differentiation, there was variability in the proportion of cells expressing different markers as well as in the pattern of expression of these molecules. This is illustrated by observations that Pax-6 staining was mainly cytoplasmic in cells cultured on BMP alone or on FN with FGF-2, but that nuclear staining for this factor predominated in cells cultured on BMP with FGF-2 or RA. Cytoplasmic staining for Six 3/6 was observed in cells cultured on FN or BMP in the presence of FGF-2, whilst nuclear staining for this factor was seen in cells cultured on basement matrix protein (BMP) with retinoic acid (RA). Staining for Sox-2 was characteristically associated to cytoplasmic and neurite extensions and cells cultured on FN or BMP with FGF-2 displayed characteristic bipolar morphology and expression of peripherin, resembling photoreceptor cells. Neurite extensions of up to 250 µm long were observed when cells were stained for this molecule. Expression of PKC and Chx10 was predominantly cytoplasmic, whilst Sonic hedgehog protein (Shh) expression was characteristically dense around the nuclei. However, nuclear association of this factor predominated in cells cultured on BMP with RA.

In addition to these differences, exposure to various culture conditions resulted in variability in the proportion of cells expressing individual markers. When cultured on BMP with RA, 17-19% of cells expressed Pax-6, compared with 82% of cells cultured on fibronectin in the presence of FGF-2. A large proportion of cells (71%) maintained the expression of CRALBP when plated on FN with FGF-2, in contrast to <18% cultured in the presence of BMP and RA or FGF-2. Sox-2 was expressed by 50-88% of de-differentiated Müller stem cells independent of the substrate and growth factor used, whilst a large proportion (85%) of cells expressed HuD when cultured on FN in the presence of FGF-2. A relatively large number of cells expressing peripherin (22-29%), Shh (39-40%), Chx10 (15-21%) and PKC (29-33%) was also observed when cells were cultured in the presence of FGF-2.

#### Example 4

*In situ* expression of progenitor markers by Müller cells of the adult human retina.

Evidence that pluripotent stem cells isolated from adult neural retina constitute a population of Müller cells could only be confirmed if these cells were

to be identified *in situ*. Therefore, retinal sections were co-stained with antibodies to various markers of retinal progenitors and the Müller cell markers CRALBP or nestin. Confocal microscopy showed that a population of cells localized in the neural central retina, with characteristic Müller cell morphology and expressing CRALBP, expressed the progenitor markers Shh, Sox-2 and Chx10. Although the frequency of Müller cells co-expressing these markers is higher in the peripheral (2-5% of the total number of cells in the inner nuclear layer( INL)) than in the central retina (1-2% of cells in the INL), cells were clearly observed at a distance of 2.0 - 2.5 cm from the ciliary body. Co-staining of retinal sections for nestin also identified Müller cells co-expressing this progenitor marker and the transcription factors Shh, Sox-2 and Chx10. Co-staining of Müller cells for progenitor markers was observed in all four specimens examined. These observations strongly support the observations that a population of Müller cells from the adult human neural retina has pluripotent characteristics and that they can be easily isolated and maintained indefinitely *in vitro*.

#### Example 5

Fate of Müller progenitor cells upon grafting into the subretinal space of the RCS rat.

By tracing grafted Müller stem cells with antibodies to human mitochondria, it was observed that, 7-9 days after subretinal injection, cells were grouped in small clusters along the surface of the outer nuclear layer (ONL) and that some cells had migrated into the retinal cell layers (Fig 7A). Cells that had migrated into the photoreceptor cell layer expressed rhodopsin, a rod specific marker (Fig. 7B), whilst cells that had migrated into the ganglion cell layer (GCL) expressed calretinin (Fig. 7B), a marker of ganglion cells. Similar pattern of migration and localization of the transplanted cells was observed at 5 weeks after transplantation.

#### Example 6

Grafting of Müller stem cells preserves retinal integrity and visual function in dystrophic RCS rats.

Analysis of retinal morphology following 15 weeks after Müller stem cell graft showed a good preservation of retinal integrity, as judged by the anatomical organization of photoreceptor cells in the ONL and normal morphology of the outer segments. Full thickness of the ONL was seen in one of the animals and  
5 contrasted with the sparse presence of photoreceptor cells in the non-transplanted contra lateral retina (Fig. 6).

Visual function, as determined by head-tracking experiments showed that rats transplanted with Müller stem cells were able to track all grating stimuli significantly better than sham operated animals. This ability to respond to visual  
10 stimuli was much greater at 12 and 15 weeks than at 8 weeks after transplantation (Fig. 8). At 8 weeks transplanted animals did not show a difference from sham operated rats when tracking a visual stimulus of 0.125 cycles per degree, but at gratings of 0.25 and 0.5 cycles per degree, transplanted animals tracked significantly better than sham operated rats. After  
15 15 weeks, a decreased visual response to all grating stimuli was observed in the transplanted animals, although this response was significantly higher than in the sham operated rats.